



General Assembly

January Session, 2001

Bill No. 1154

LCO No. 3725

Referred to Committee on Government Administration and Elections

Introduced by:

SEN. DELUCA, 32nd Dist.

REP. WARD, 86th Dist.

AN ACT CONCERNING CAMPAIGN FINANCE REFORM.

Be it enacted by the Senate and House of Representatives in General Assembly convened:

1 Section 1. Section 9-333a of the general statutes is repealed and the
2 following is substituted in lieu thereof:

3 As used in this chapter:

4 (1) "Committee" means a party committee, [political committee or a]
5 candidate committee, exploratory committee or referendum committee
6 organized, as the case may be, for a single primary, election or
7 referendum, or [for ongoing political activities,] to aid or promote the
8 success or defeat of any political party, any one or more candidates for
9 public office or the position of convention delegate or town committee
10 member or any referendum question.

11 (2) "Party committee" means a state central committee or a town
12 committee. "Party committee" does not mean a party-affiliated or
13 district, ward or borough committee which receives all of its funds

14 from the state central committee of its party or from a single town
15 committee with the same party affiliation. Any such committee so
16 funded shall be construed to be a part of its state central or town
17 committee for purposes of this chapter.

18 (3) ["Political committee"] "Exploratory committee" means [(A) a
19 committee organized by a business entity or organization, (B) persons
20 other than individuals, or two or more individuals organized or acting
21 jointly conducting their activities in or outside the state, (C)] a
22 committee established by a candidate to determine the particular
23 public office to which [he] the candidate shall seek nomination or
24 election, [, and referred to in this chapter as an exploratory committee
25 or (D) a committee established by or on behalf of a slate of candidates
26 in a primary for the position of convention delegate, but does not mean
27 a candidate committee or a party committee.]

28 (4) "Referendum committee" means a committee established to
29 promote the success or defeat of a referendum question.

30 [(4)] (5) "Candidate committee" means any committee designated by
31 a single candidate, or established with the consent, authorization or
32 cooperation of a candidate, for the purpose of a single primary or
33 election and to aid or promote [his] the candidate's candidacy alone for
34 a particular public office or the position of town committee member or
35 established by or on behalf of a slate of candidates in a primary for the
36 position of convention delegate, but does not mean [a political
37 committee or] a party committee.

38 [(5)] (6) "National committee" means the organization which
39 according to the bylaws of a political party is responsible for the day-
40 to-day operation of the party at the national level.

41 [(6)] (7) "Organization" means all labor organizations, (A) as defined
42 in the Labor-Management Reporting and Disclosure Act of 1959, as
43 from time to time amended, or (B) as defined in subdivision (9) of
44 section 31-101, employee organizations as defined in subsection (d) of

45 section 5-270 and subdivision (6) of section 7-467, bargaining
46 representative organizations for teachers, any local, state or national
47 organization, to which a labor organization pays membership or per
48 capita fees, based upon its affiliation or membership, and trade or
49 professional associations which receive their funds exclusively from
50 membership dues, whether organized in or outside of this state, but
51 does not mean a candidate committee, party committee or a political
52 committee.

53 [(7)] (8) "Business entity" means the following, whether organized in
54 or outside of this state: Stock corporations, banks, insurance
55 companies, business associations, bankers associations, insurance
56 associations, trade or professional associations which receive funds
57 from membership dues and other sources, partnerships, joint ventures,
58 private foundations, as defined in Section 509 of the Internal Revenue
59 Code of 1986, or any subsequent corresponding internal revenue code
60 of the United States, as from time to time amended; trusts or estates;
61 corporations organized under sections 38a-175 to 38a-192, inclusive,
62 38a-199 to 38a-209, inclusive, and 38a-214 to 38a-225, inclusive, and
63 chapters 594 to 597, inclusive; cooperatives, and any other association,
64 organization or entity which is engaged in the operation of a business
65 or profit-making activity; but does not include professional service
66 corporations organized under chapter 594a and owned by a single
67 individual, nonstock corporations which are not engaged in business
68 or profit-making activity, organizations, as defined in subdivision (6)
69 of this section, candidate committees, party committees and political
70 committees as defined in this section. For purposes of this chapter,
71 corporations which are component members of a controlled group of
72 corporations, as those terms are defined in Section 1563 of the Internal
73 Revenue Code of 1986, or any subsequent corresponding internal
74 revenue code of the United States, as from time to time amended, shall
75 be deemed to be one corporation.

76 [(8)] (9) "Individual" means a human being, a sole proprietorship, or
77 a professional service corporation organized under chapter 594a and

78 owned by a single human being.

79 [(9)] (10) "Person" means an individual, committee, firm,
80 partnership, organization, association, syndicate, company trust,
81 corporation, limited liability company or any other legal entity of any
82 kind but does not mean the state or any political or administrative
83 subdivision of the state.

84 [(10)] (11) "Candidate" means an individual who seeks nomination
85 for election or election to public office whether or not such individual
86 is elected, and for the purposes of this chapter an individual shall be
87 deemed to seek nomination for election or election if [he] the
88 individual has (A) been endorsed by a party or become eligible for a
89 position on the ballot at an election or primary or (B) solicited or
90 received contributions or made expenditures or [given his consent]
91 consented to any other person to solicit or receive contributions or
92 make expenditures with the intent to bring about [his] the individual's
93 nomination for election or election to any such office. "Candidate" also
94 means a slate of candidates which is to appear on the ballot in a
95 primary for the position of convention delegate. For the purposes of
96 sections 9-333 to 9-333l, inclusive, and section 9-333w, "candidate" also
97 means an individual who is a candidate in a primary for town
98 committee members.

99 [(11)] (12) "Campaign treasurer" means the individual appointed by
100 a candidate or by the chairman of a party committee or [a political
101 committee] exploratory committee or referendum committee to receive
102 and disburse funds on behalf of the candidate or committee.

103 [(12)] (13) "Deputy campaign treasurer" means the individual
104 appointed by the candidate or by the chairman of a committee to serve
105 in the capacity of the campaign treasurer if the campaign treasurer is
106 unable to perform [his] the campaign treasurer's duties.

107 [(13)] (14) "Solicitor" means an individual appointed by a campaign
108 treasurer of a committee to receive, but not to disburse, funds on

109 behalf of the committee.

110 ~~[(14)]~~ (15) "Referendum question" means a question to be voted
111 upon at any election or referendum, including a proposed
112 constitutional amendment.

113 ~~[(15)]~~ (16) "Lobbyist" means a lobbyist as defined in subsection (l) of
114 section 1-91.

115 ~~[(16)]~~ (17) "Business with which he is associated" means any
116 business in which the contributor is a director, officer, owner, limited
117 or general partner or holder of stock constituting five per cent or more
118 of the total outstanding stock of any class. Officer refers only to the
119 president, executive or senior vice-president or treasurer of such
120 business.

121 ~~[(17)]~~ (18) "Independent expenditure" means an expenditure that is
122 made without the consent, knowing participation, or consultation of, a
123 candidate or agent of the candidate committee. "Independent
124 expenditure" does not include an expenditure (A) if there is any
125 coordination or direction with respect to the expenditure between the
126 candidate or the treasurer, deputy treasurer or chairman of ~~[his]~~ the
127 candidate's candidate committee and the person making the
128 expenditure or (B) if, during the same election cycle, the individual
129 making the expenditure serves or has served as the treasurer, deputy
130 treasurer or chairman of the candidate committee.

131 ~~[(18)]~~ (19) "Federal account" means a depository account that is
132 subject to the disclosure and contribution limits provided under the
133 Federal Election Campaign Act of 1971, as amended from time to time.

134 ~~[(19)]~~ (20) "Public funds" means funds belonging to, or under the
135 control of, the state or a political subdivision of the state.

136 Sec. 2. Subsection (b) of section 9-333b of the general statutes is
137 repealed and the following is substituted in lieu thereof:

138 (b) As used in this chapter, "contribution" does not mean:

139 (1) A loan of money made in the ordinary course of business by a
140 national or state bank;

141 (2) Any communication made by a corporation, organization or
142 association to its members, owners, stockholders, executive or
143 administrative personnel, or their families;

144 (3) Nonpartisan voter registration and get-out-the-vote campaigns
145 by any corporation, organization or association aimed at its members,
146 owners, stockholders, executive or administrative personnel, or their
147 families;

148 (4) Uncompensated services provided by individuals volunteering
149 their time;

150 (5) The use of real or personal property, and the cost of invitations,
151 food or beverages, voluntarily provided by an individual to a
152 candidate or on behalf of a state central or town committee, in
153 rendering voluntary personal services for candidate or party-related
154 activities at the individual's residence, to the extent that the cumulative
155 value of the invitations, food or beverages provided by the individual
156 on behalf of any single candidate does not exceed two hundred dollars
157 with respect to any single election, and on behalf of all state central
158 and town committees does not exceed four hundred dollars in any
159 calendar year;

160 (6) The sale of food or beverage for use in a candidate's campaign or
161 for use by a state central or town committee at a discount, if the charge
162 is not less than the cost to the vendor, to the extent that the cumulative
163 value of the discount given to or on behalf of any single candidate does
164 not exceed two hundred dollars with respect to any single election,
165 and on behalf of all state central and town committees does not exceed
166 four hundred dollars in a calendar year;

167 (7) Any unreimbursed payment for travel expenses made by an

168 individual who on [his] the individual's own behalf volunteers [his]
169 the individual's personal services to any single candidate to the extent
170 the cumulative value does not exceed two hundred dollars with
171 respect to any single election, and on behalf of all state central or town
172 committees does not exceed four hundred dollars in a calendar year;

173 (8) The payment, by a party committee, political exploratory
174 committee or an individual, of the costs of preparation, display,
175 mailing or other distribution incurred by the committee or individual
176 with respect to any printed slate card, sample ballot or other printed
177 list containing the names of three or more candidates;

178 (9) The donation of any item of personal property by an individual
179 to a committee for a fund-raising affair, including a tag sale or auction,
180 or the purchase by an individual of any such item at such an affair, to
181 the extent that the cumulative value donated or purchased does not
182 exceed fifty dollars;

183 [(10) The purchase of advertising space which clearly identifies the
184 purchaser, in a program for a fund-raising affair, provided the
185 cumulative purchase of such space does not exceed two hundred fifty
186 dollars from any single candidate or his committee with respect to any
187 single election campaign or two hundred fifty dollars from any single
188 party committee or other political committee in any calendar year if
189 the purchaser is a business entity or fifty dollars for purchases by any
190 other person;]

191 [(11)] (10) The payment of money by a candidate to [his] the
192 candidate's candidate committee;

193 [(12)] (11) The donation of goods or services by a business entity to a
194 committee for a fund-raising affair, including a tag sale or auction, to
195 the extent that the cumulative value donated does not exceed one
196 hundred dollars;

197 [(13)] (12) The advance of a security deposit by an individual to a

198 telephone company, as defined in section 16-1, for telecommunications
199 service for a committee, provided the security deposit is refunded to
200 the individual; or

201 [(14)] (13) The provision of facilities, equipment, technical and
202 managerial support, and broadcast time by a community antenna
203 television company, as defined in section 16-1, for community access
204 programming pursuant to section 16-331a, unless (A) the major
205 purpose of providing such facilities, equipment, support and time is to
206 influence the nomination or election of a candidate, or (B) such
207 facilities, equipment, support and time are provided on behalf of a
208 political party.

209 Sec. 3. Section 9-333d of the general statutes of the general statutes is
210 repealed and the following is substituted in lieu thereof:

211 (a) Except with respect to an individual acting on [his] the
212 individual's own, no contributions may be made, solicited or received
213 and no expenditures may be made, directly or indirectly, in aid of or in
214 opposition to the candidacy for nomination or election of any
215 individual or any party or referendum question, unless (1) the
216 candidate or chairman of the committee has filed a designation of a
217 campaign treasurer and a depository institution situated in this state as
218 the depository for the committee's funds or (2) the candidate or, in the
219 event of a referendum question, a group of individuals has filed a
220 certification in accordance with the provisions of section 9-333f or 9-
221 333g, as the case may be. In the case of [a political committee] an
222 exploratory committee or a referendum committee, the filing of the
223 statement of organization by the chairman of such committee, in
224 accordance with the provisions of section 9-333g shall constitute
225 compliance with the provisions of this subsection.

226 (b) No contribution in aid of or in opposition to the candidacy of
227 any person or to any party or referendum question shall be made at
228 any time, except to the committee's campaign treasurer whose
229 designation is on file with the proper authority, a solicitor, a candidate

230 who is exempt from the requirement to form a candidate committee
 231 and has filed a certification, or a group of individuals which have
 232 joined solely to support or oppose a referendum question and have
 233 filed a certification.

234 (c) An individual who is designated as campaign treasurer of a
 235 committee shall be responsible for all duties required of [him] the
 236 campaign treasurer under this chapter until the committee is
 237 terminated. The campaign treasurer shall be relieved of such duties
 238 upon [his] the campaign treasurer's permanent incapacity, resignation
 239 or replacement, provided a statement to that effect is filed with the
 240 proper authority, as provided in section 9-333e. In the event of the
 241 death of the campaign treasurer or after a statement has been filed
 242 concerning the campaign treasurer's incapacity, resignation or
 243 replacement, if a deputy campaign treasurer has been designated, the
 244 deputy campaign treasurer shall be responsible for all duties required
 245 of the campaign treasurer under this chapter until the candidate or
 246 chairman of the committee files with the proper authority a
 247 designation of a successor campaign treasurer. If a deputy campaign
 248 treasurer has not been designated, the candidate or chairman shall
 249 designate a successor campaign treasurer and file such designation
 250 with the proper authority not more than ten days after the death of the
 251 campaign treasurer or the filing of the statement of [his] the campaign
 252 treasurer's incapacity, resignation or replacement.

253 (d) (1) In addition to its jurisdiction over persons who are residents
 254 of this state, the State Elections Enforcement Commission may exercise
 255 personal jurisdiction over any nonresident person, or the agent of such
 256 person, who makes a payment of money, gives anything of value or
 257 makes a contribution or expenditure to or for the benefit of any
 258 committee or candidate.

259 (2) Where personal jurisdiction is based solely upon this subsection,
 260 an appearance does not confer personal jurisdiction with respect to
 261 causes of action not arising from an act enumerated in this subsection.

262 (3) Any nonresident person or the agent of such person over whom
 263 the State Elections Enforcement Commission may exercise personal
 264 jurisdiction, as provided in subdivision (1) of this subsection, shall be
 265 deemed to have appointed the Secretary of the State as the person's or
 266 agent's attorney and to have agreed that any process in any complaint,
 267 investigation or other matter conducted pursuant to section 9-7b
 268 brought against the nonresident person, or said person's agent, may be
 269 served upon the Secretary of the State and shall have the same validity
 270 as if served upon such nonresident person or agent personally. The
 271 process shall be served by the officer to whom the same is directed
 272 upon the Secretary of the State by leaving with or at the office of the
 273 Secretary of the State, at least twelve days before any required
 274 appearance day of such process, a true and attested copy of such
 275 process, and by sending to the nonresident person or agent so served,
 276 at the person's or agent's last-known address, by registered or certified
 277 mail, postage prepaid, a like and attested copy with an endorsement
 278 thereon of the service upon the Secretary of the State. The Secretary of
 279 the State shall keep a record of each such process and the day and hour
 280 of service.

281 Sec. 4. Section 9-333e of the general statutes is repealed and the
 282 following is substituted in lieu thereof:

283 (a) Statements filed by party committees, [political] referendum
 284 committees formed to aid or promote the success or defeat of a
 285 referendum question proposing a constitutional convention,
 286 constitutional amendment or revision of the constitution, individual
 287 lobbyists, exploratory committees, and those [political committees
 288 and] candidate committees formed to aid or promote the success or
 289 defeat of any candidate for the office of Governor, Lieutenant
 290 Governor, Secretary of the State, Treasurer, Comptroller, Attorney
 291 General, judge of probate and members of the General Assembly, shall
 292 be filed with the office of the Secretary of the State. A copy of each
 293 statement filed by a town committee shall be filed at the same time
 294 with the town clerk of the municipality in which the committee is

295 situated. A [political] candidate committee formed for a slate of
296 candidates in a primary for the position of convention delegate shall
297 file statements with both the Secretary of the State and the town clerk
298 of the municipality in which the primary is to be held.

299 (b) Statements filed by [political] referendum committees formed
300 solely to aid or promote the success or defeat of a referendum question
301 to be voted upon by the electors of a single municipality and those
302 [political committees or] candidate committees formed to aid or
303 promote the success or defeat of any candidate for public office, other
304 than those enumerated in subsection (a) of this section, or the position
305 of town committee member shall be filed only with the town clerk of
306 the municipality in which the election or referendum is to be held.
307 Each unsalaried town clerk shall be entitled to receive ten cents from
308 the town for the filing of each such statement.

309 (c) A certification of a candidate who is exempt from the
310 requirement of subsection (a) of section 9-333f to form a candidate
311 committee shall be filed with the Secretary of the State if the candidate
312 seeks an office enumerated in subsection (a) of this section, or with the
313 town clerk of the municipality in which the election is to be held if the
314 candidate seeks an office other than those enumerated. A certification
315 of a group of individuals who have joined solely to aid or promote a
316 referendum question and who are exempt from the requirement to
317 form a political committee under section 9-333g shall be filed with the
318 town clerk of each municipality in which the referendum is to be held.

319 Sec. 5. Section 9-333f of the general statutes is repealed and the
320 following is substituted in lieu thereof:

321 (a) Each candidate for a particular public office or the position of
322 town committee member shall form a single candidate committee for
323 which [he] the candidate shall designate a campaign treasurer and a
324 depository institution situated in this state as the depository for the
325 committee's funds and shall file a committee statement containing
326 such designations with the proper authority as required by section 9-

327 333e. The candidate may also designate a deputy campaign treasurer
328 on such committee statement. The campaign treasurer and any deputy
329 campaign treasurer so designated shall sign a statement accepting such
330 designation which the candidate shall include as part of, or file with,
331 the committee statement.

332 (b) The formation of a candidate committee by a candidate and the
333 filing of statements pursuant to section 9-333j shall not be required if
334 the candidate files a certification with the proper authority required by
335 section 9-333e, at any time prior to the acceptance of a contribution or
336 making of an expenditure and any of the following conditions exist for
337 the campaign: (1) The candidate is one of a slate of candidates whose
338 campaigns are funded solely by a party committee or a [political]
339 candidate committee formed for a single election or primary and
340 expenditures made on behalf of the candidate's campaign are reported
341 by the committee sponsoring [his] the candidate's candidacy; (2) the
342 candidate finances [his] the candidate's campaign entirely from
343 personal funds and does not solicit or receive contributions; or (3) the
344 candidate does not receive or expend funds in excess of five hundred
345 dollars. If the candidate no longer qualifies for the exemption under
346 any of these conditions, [he] the candidate shall comply with the
347 provisions of subsection (a) of this section, not later than three business
348 days thereafter and shall provide [his] the candidate's designated
349 campaign treasurer with all information required for completion of the
350 treasurer's statements and filings as required by section 9-333j. If the
351 candidate no longer qualifies for the exemption due to the condition
352 stated in [his] the candidate's certification but so qualifies due to a
353 different condition specified in this subsection, [he] the candidate shall
354 file an amended certification with the proper authority and provide the
355 new condition for [his] the candidate's qualification not later than three
356 business days following the change in circumstances of the financing
357 of [his] the candidate's campaign. The filing of a certification under this
358 subsection shall not relieve the candidate from compliance with the
359 provisions of this chapter.

360 [(c) The chairman of a political committee formed to support a
361 single candidate for public office shall, not later than seven days after
362 filing a statement of organization with the proper authority under
363 section 9-333e, send the candidate a notice, by certified mail, of such
364 filing. If a candidate (1) does not, within fourteen days after receiving
365 such notice, disavow such committee, in writing, to the proper
366 authority under section 9-333e, or (2) disavows such committee within
367 such period, but, at any time before such disavowal, accepts funds
368 from the committee for his campaign, such committee shall be deemed
369 to have been authorized by such candidate and shall constitute a
370 candidate committee for the purposes of this chapter.]

371 (c) No candidate shall establish, agree to or assist in establishing, or
372 give [his] the candidate's consent or authorization to establishing a
373 committee other than a single candidate committee to promote [his]
374 the candidate's candidacy for any public office except that a candidate
375 may establish a single [political] exploratory committee, for a single
376 election or primary, for the sole purpose of determining whether to
377 seek [(A)] (1) nomination or election to the General Assembly, [(B)] (2)
378 a state office, as defined in subsection (e) of section 9-333l, or [(C)] (3)
379 nomination or election to any other public office. The candidate shall
380 designate such purpose on the statement of organization. Not later
381 than fifteen days after a public declaration by the candidate of [his] the
382 candidate's intention to seek nomination or election to the General
383 Assembly, a state office, as so defined, or any other particular public
384 office, the candidate shall form a single candidate committee.

385 (d) A slate of candidates in a primary for the position of delegate to
386 the same convention shall designate a chairperson to form a single
387 [political] candidate committee to comply with the requirements of
388 section 9-333g, except if the individuals on the slate unanimously
389 consent to have their campaign financed solely by a town committee or
390 by the candidate committee of a candidate for state or district office to
391 which they are committed, and such committee or candidate consents
392 to such financing by filing a statement of consent with both the

393 Secretary of the State and the town clerk of the municipality in which
394 the primary is to be held.

395 Sec. 6. Section 9-333g of the general statutes is repealed and the
396 following is substituted in lieu thereof:

397 (a) The chairperson of each [political committee] exploratory
398 committee and referendum committee shall designate a campaign
399 treasurer and may designate a deputy campaign treasurer. The
400 campaign treasurer and any deputy campaign treasurer so designated
401 shall sign a statement accepting the designation. The chairperson of
402 each [political committee] exploratory committee and referendum
403 committee shall file a statement of organization along with the
404 statement signed by the designated campaign treasurer and deputy
405 campaign treasurer with the proper authority, within ten days after its
406 organization, provided that the chairperson of any [political] such
407 committee organized within ten days prior to any primary, election or
408 referendum in connection with which it intends to make any
409 contributions or expenditures, shall immediately file a statement.

410 (b) The statement shall include: (1) The name and address of the
411 committee; (2) a statement of the purpose of the committee; (3) the
412 name and address of its campaign treasurer, and deputy campaign
413 treasurer if applicable; (4) the name, address and position of its
414 [chairman] chairperson, and other principal officers if applicable; (5)
415 the name and address of the depository institution for its funds; (6) the
416 name of each person, other than an individual, that is a member of the
417 committee; (7) the name and party affiliation of [each] the candidate
418 whom the committee is supporting, if applicable, and the office or
419 position sought by [each] the candidate; [(8) if the committee is
420 supporting the entire ticket of any party, a statement to that effect and
421 the name of the party; (9)] (8) if the committee is supporting or
422 opposing any referendum question, a brief statement identifying the
423 substance of the question; [(10) if the committee is established by a
424 business entity or organization, the name of the entity or organization;

425 (11) if the committee is established by an organization, whether it will
426 receive its funds from the organization's treasury or from voluntary
427 contributions; (12) if the committee files reports with the Federal
428 Elections Commission or any out-of-state agency, a statement to that
429 effect including the name of the agency; (13)] and (9) a statement
430 indicating whether the committee is established for a single [primary,
431 election or referendum or for ongoing political activities; and (14) if the
432 committee is established by or on behalf of a lobbyist, a statement to
433 that effect and the name of the lobbyist] referendum.

434 (c) The [chairman] chairperson of each [political committee]
435 exploratory committee or referendum committee shall report any
436 addition to or change in information previously submitted in a
437 statement of organization to the proper authority within ten days after
438 the addition or change.

439 (d) A group of two or more individuals who have joined solely to
440 promote the success or defeat of a referendum question shall not be
441 required to file as a [political committee] referendum committee, make
442 such designations in accordance with subsections (a) and (b) of this
443 section or file statements pursuant to section 9-333j, if the group does
444 not receive or expend in excess of five hundred dollars for the entire
445 campaign and the agent of such individuals files a certification with
446 the proper authority or authorities as required under section 9-333e
447 before an expenditure is made. The certification shall include the name
448 of the group, or the names of the persons who comprise the group, and
449 the name and address of the agent which shall appear on any
450 communication paid for or sponsored by the group as required by
451 section 9-333w. If the group receives or expends in excess of five
452 hundred dollars, the agent shall complete the statement of
453 organization and file as a [political committee] referendum committee
454 not later than three business days thereafter. The agent shall provide
455 the designated campaign treasurer with all information required for
456 completion of the statements for filing as required by section 9-333j.
457 The filing of a certification under this subsection shall not relieve the

458 group from compliance with the provisions of this chapter, and the
459 group shall be considered a [political committee established solely for
460 a referendum question] referendum committee for purposes of the
461 limitations on contributions and expenditures.

462 Sec. 7. Section 9-333h of the general statutes is repealed and the
463 following is substituted in lieu thereof:

464 (a) The campaign treasurer of each committee shall be responsible
465 for (1) depositing, receiving and reporting all contributions and other
466 funds in the manner specified in section 9-333j, (2) making and
467 reporting expenditures, (3) reporting expenses incurred but not yet
468 paid, (4) filing the statements required under section 9-333j, and (5)
469 keeping internal records of each entry made on such statements. The
470 campaign treasurer of each committee shall deposit contributions in
471 the committee's designated depository within [seven] fifteen business
472 days after receiving them. The campaign treasurer of each [political
473 committee] exploratory committee or party committee which makes a
474 contribution of goods to another committee shall send written notice to
475 the campaign treasurer of the recipient committee before the close of
476 the reporting period during which the contribution was made. The
477 notice shall be signed by the campaign treasurer of the committee
478 making the contribution and shall include the full name of such
479 committee, the date on which the contribution was made, a complete
480 description of the contribution and the value of the contribution. Any
481 dispute concerning the information contained in such notice shall be
482 resolved by the campaign treasurer of the recipient committee. Such
483 resolution shall not impair in any way the authority of the State
484 Elections Enforcement Commission under section 9-7b. The campaign
485 treasurer of the recipient committee shall preserve each such notice
486 received for the period prescribed by subsection (f) of section 9-333i.

487 (b) A contribution in the form of a check drawn on a joint bank
488 account shall, for the purpose of allocation, be deemed to be a
489 contribution made by the individual who signed the check. If a check

490 is signed by more than one individual, the total amount of the check
491 shall be divided equally among the cosigners for the purpose of
492 allocation. If a committee receives an anonymous contribution of more
493 than fifteen dollars the campaign treasurer shall immediately remit the
494 contribution to the State Treasurer. The State Treasurer shall deposit
495 the contribution in the General Fund.

496 (c) The campaign treasurer of each committee [, other than a
497 political committee established by an organization which receives its
498 funds from the organization's treasury,] may appoint solicitors. If
499 solicitors are appointed, the campaign treasurer shall receive and
500 report all contributions made or promised to each solicitor. Each
501 solicitor shall submit to the campaign treasurer a list of all
502 contributions made or promised to him. The list shall be complete as of
503 seventy-two hours immediately preceding midnight of the day
504 preceding the dates on which the campaign treasurer is required to file
505 a sworn statement as provided in section 9-333j. Lists shall be received
506 by the campaign treasurer not later than twenty-four hours
507 immediately preceding each required filing date. Each solicitor shall
508 deposit all contributions with the campaign treasurer, within ten
509 business days after receipt. No solicitor shall expend any contributions
510 received by [him] the solicitor or disburse such contributions to any
511 person other than the campaign treasurer.

512 (d) No person shall act as a campaign treasurer or deputy campaign
513 treasurer unless [he] the person is an elector of this state, and a
514 statement, signed by the chairman in the case of a party committee, [or
515 political committee] exploratory committee or referendum committee
516 or by the candidate in the case of a candidate committee, designating
517 [him] the person as campaign treasurer or deputy campaign treasurer
518 has been filed in accordance with section 9-333e. In the case of [a
519 political committee] an exploratory committee or a referendum
520 committee, the filing of a statement of organization by the chairman of
521 the committee, in accordance with the provisions of section 9-333g,
522 shall constitute compliance with the filing requirements of this section.

523 No provision of this subsection shall prevent the campaign treasurer,
524 deputy campaign treasurer or solicitor of any committee from being
525 the campaign treasurer, deputy campaign treasurer or solicitor of any
526 other committee or prevent any committee from having more than one
527 solicitor, but no candidate shall have more than one campaign
528 treasurer. A candidate shall not serve as [his] the candidate's own
529 campaign treasurer or deputy campaign treasurer, except that a
530 candidate who is exempt from forming a candidate committee under
531 subsection (b) of section 9-333f and has filed a certification that [he] the
532 candidate is financing [his] the candidate's campaign from [his] the
533 candidate's own personal funds or is not receiving or expending in
534 excess of five hundred dollars may perform the duties of a campaign
535 treasurer for [his] the candidate's own campaign.

536 Sec. 8. Section 9-333i of the general statutes is repealed and the
537 following is substituted in lieu thereof:

538 (a) No financial obligation shall be incurred by a committee unless
539 authorized by the campaign treasurer, except that certain expenditures
540 of a candidate's personal funds may be reimbursed as provided in
541 subsection (k) of this section.

542 (b) No candidate, campaign treasurer, or committee shall be liable
543 for any debt incurred in aid of or in opposition to any political party,
544 referendum question or the candidacy of any person or persons for
545 said offices or positions unless such debt was incurred pursuant to an
546 authorization issued under subsection (a) of this section.

547 (c) On any day on which an election or primary is being held, the
548 campaign treasurer of any committee which functions as a town
549 committee may give a check to one individual in each voting district of
550 the municipality in which the election or primary is being held. The
551 check shall be drawn by the campaign treasurer against the
552 committee's depository institution account to the order of such
553 individual in an amount not to exceed two hundred fifty dollars. Such
554 individual may use the proceeds of the check to make cash

555 expenditures in such voting district for per diem allotments to
556 campaign workers, or expenses incurred by campaign workers on
557 election or primary day, including but not limited to, food, beverages,
558 gasoline and other similar ordinary and necessary expenses. Such
559 individual shall submit to the campaign treasurer, within forty-eight
560 hours after the closing of the polls, a detailed accounting of all such
561 expenditures. The campaign treasurer shall report the names of all
562 such individuals and the expenditures made by them in accordance
563 with the provisions of section 9-333j.

564 (d) Except as provided in subsections (j) and (k) of this section, no
565 payment in satisfaction of any financial obligation incurred by a
566 committee shall be made by or accepted from any person other than
567 the campaign treasurer and then only according to the tenor of an
568 authorization issued pursuant to subsection (a) of this section.

569 (e) Any such payment shall be by check drawn by the campaign
570 treasurer, on the designated depository. Each such treasurer may draw
571 a check, not to exceed one hundred dollars, to establish a petty cash
572 fund and may deposit additional funds to maintain it, but the fund
573 shall not exceed one hundred dollars at any time. All expenditures
574 from a petty cash fund shall be reported in the same manner as any
575 other expenditure.

576 (f) The campaign treasurer shall preserve all internal records of
577 transactions entered in reports filed pursuant to section 9-333j for four
578 years from the date of the report in which the transactions were
579 entered. If any checks are issued pursuant to subsection (e) of this
580 section, the campaign treasurer who issued them shall preserve all
581 cancelled checks and bank statements for four years from the date on
582 which they were issued. In the case of a candidate committee, the
583 campaign treasurer or the candidate, if the candidate so requests, shall
584 preserve all internal records, cancelled checks and bank statements for
585 four years from the date of the last report required to be filed under
586 subsection (a) of section 9-333j.

587 (g) (1) As used in this subsection, (A) "the lawful purposes of his
 588 committee" means: (i) For a candidate committee or exploratory
 589 committee, the promoting of the nomination or election of the
 590 candidate who established the committee; (ii) for a [political
 591 committee] referendum committee, the promoting of [the success or
 592 defeat of candidates for nomination and election to public office or
 593 position subject to the requirements of this chapter, or] the success or
 594 defeat of referendum questions, provided a [political committee
 595 formed for a single referendum question] referendum committee shall
 596 not promote the success or defeat of any candidate; [, and provided
 597 further a political committee designated by the majority of the
 598 members of a political party who are also members of the state House
 599 of Representatives or the state Senate may expend funds to defray
 600 costs of its members for conducting legislative or constituency-related
 601 business which are not reimbursed or paid by the state;] and (iii) for a
 602 party committee, the promoting of the party, the candidates of the
 603 party and continuing operating costs of the party, and (B) "immediate
 604 family" means a spouse or dependent child of a candidate who resides
 605 in the candidate's household.

606 (2) Unless otherwise provided by this chapter, any campaign
 607 treasurer, in accomplishing the lawful purposes of his committee, may
 608 pay the expenses of: (A) Advertising in electronic and print media; (B)
 609 any other form of printed advertising or communications including
 610 "thank you" advertising after the election; (C) campaign items,
 611 including, but not limited to, brochures, leaflets, flyers, invitations,
 612 stationery, envelopes, reply cards, return envelopes, campaign
 613 business cards, direct mailings, postcards, palm cards, "thank you"
 614 notes, sample ballots and other similar items; (D) political banners and
 615 billboards; (E) political paraphernalia, which is customarily given or
 616 sold to supporters including, but not limited to, campaign buttons,
 617 stickers, pins, pencils, pens, matchbooks, balloons, pads, calendars,
 618 magnets, key chains, hats, tee shirts, sweatshirts, frisbees, pot holders,
 619 jar openers and other similar items; (F) purchasing office supplies for
 620 campaign or political purposes, campaign photographs, raffle or other

621 fund-raising permits required by law, fund-raiser prizes, postage,
622 express mail delivery services, bulk mail permits, and computer
623 supplies and services; (G) banking service charges to maintain
624 campaign and political accounts; (H) subscriptions to newspapers and
625 periodicals which enhance the candidacy of the candidate or party; (I)
626 lease or rental of office space for campaign or political purposes and
627 expenses in connection therewith including, but not limited to,
628 furniture, parking, storage space, utilities and maintenance, provided a
629 party committee [or political committee organized for ongoing
630 political activities] may purchase such office space; (J) lease or rental of
631 vehicles for campaign use only; (K) lease, rental or use charges of any
632 ordinary and necessary campaign office equipment including, but not
633 limited to, copy machines, telephones, postage meters, facsimile
634 machines, computer hardware, software and printers, provided a
635 party committee [or political committee organized for ongoing
636 political activities] may purchase office equipment, and provided
637 further that a candidate committee [or a political committee, other than
638 a political committee formed for ongoing political activities or an
639 exploratory committee,] may purchase computer equipment; (L)
640 compensation for campaign or committee staff, fringe benefits and
641 payroll taxes, provided the candidate and any member of his
642 immediate family shall not receive compensation; (M) travel, meals
643 and lodging expenses of speakers, campaign or committee workers,
644 the candidate and the candidate's spouse for political and campaign
645 purposes; (N) fund raising; (O) reimbursements to candidates and
646 campaign or committee workers made in accordance with the
647 provisions of section 9-333i for campaign-related expenses for which a
648 receipt is received by the campaign treasurer; (P) campaign or
649 committee services of attorneys, accountants, consultants or other
650 professional persons for campaign activities, obtaining or contesting
651 ballot status, nomination, or election, and compliance with this
652 chapter; (Q) purchasing campaign finance reports; (R) repaying
653 permissible campaign loans made to the committee that are properly
654 reported and refunding contributions received from an impermissible

655 source or in excess of the limitations set forth in this chapter; (S)
656 conducting polls concerning any political party, issue, candidate or
657 individual; (T) gifts to campaign or committee workers or purchasing
658 flowers or other commemorative items for political purposes not to
659 exceed fifty dollars to any one recipient in a calendar year or for the
660 campaign, as the case may be; (U) purchasing tickets or advertising
661 from charities, inaugural committees, or other civic organizations if for
662 a political purpose, for any candidate, a candidate's spouse, a member
663 of a candidate's campaign staff, or members of committees; (V) the
664 inauguration of an elected candidate by that candidate's candidate
665 committee; (W) hiring of halls, rooms, music and other entertainment
666 for political meetings and events; (X) reasonable compensation for
667 public speakers hired by the committee; (Y) transporting electors to the
668 polls and other get-out-the-vote activities on election day, and (Z) any
669 other necessary campaign or political expense.

670 (3) Nothing in this section shall prohibit a candidate from
671 purchasing equipment from [his] the candidate's personal funds and
672 leasing or renting such equipment to [his] the candidate's candidate
673 committee or [his] the candidate's exploratory committee, provided the
674 candidate and [his] the candidate's campaign treasurer sign a written
675 lease or rental agreement. Such agreement shall include the lease or
676 rental price, which shall not exceed the fair lease or rental value of the
677 equipment. The candidate shall not receive lease or rental payments
678 which in the aggregate exceed [his] the candidate's cost of purchasing
679 the equipment.

680 (4) As used in this subdivision, expenditures for "personal use"
681 include expenditures to defray normal living expenses for the
682 candidate or the immediate family of the candidate and expenditures
683 for the personal benefit of the candidate having no direct connection
684 with, or effect upon, the campaign of the candidate. No goods,
685 services, funds and contributions received by any committee under
686 this chapter shall be used or be made available for the personal use of
687 any candidate. No candidate or candidate committee shall use such

688 goods, services, funds or contributions for any purpose other than
689 campaign purposes permitted by this chapter or expenses incurred in
690 preparation for taking office.

691 (h) No campaign treasurer of [a political committee] an exploratory
692 committee or a referendum committee may provide an honorarium to,
693 compensate or make a gift to, any elected public official who is subject
694 to the provisions of this chapter, for any speaking engagement or other
695 services rendered on behalf of such committee, except that the
696 provisions of this subsection shall not apply to [: (1) Reimbursement]
697 reimbursement for actual travel expenses or food and beverage for the
698 personal consumption of such public official or members of his
699 immediate family, in connection with the rendering of any such
700 services by the public official, [; or (2) any contribution made to such
701 public official in connection with his campaign for nomination or
702 election to an office or position included in this chapter, which is
703 reported in accordance with the provisions of this chapter.] Except as
704 provided in this subsection, no such elected public official may receive
705 any gift, honorarium or compensation from [a political committee] an
706 exploratory committee or a referendum committee.

707 (i) The right of any person to expend money for proper legal
708 expenses in maintaining or contesting the results of any election shall
709 not be affected or limited by the provisions of this chapter.

710 (j) A candidate or [his] the candidate's committee worker shall be
711 reimbursed by the campaign treasurer for any permissible expenditure
712 which the candidate or committee worker has paid from his own
713 personal funds if (1) the campaign treasurer authorized the
714 expenditure, (2) the candidate or worker provides the campaign
715 treasurer with a written receipt from the vendor proving [his] the
716 candidate's or worker's payment of the expenditure, and (3) in the case
717 of a reimbursement to the candidate, a detailed accounting of the
718 expenditure is included in the report of the campaign treasurer. The
719 campaign treasurer shall preserve all such receipts for the same period

720 of time as required in the case of cancelled checks, except that the
721 campaign treasurer of a candidate committee may, upon request of the
722 candidate, give such receipts to the candidate to keep for such period.

723 (k) A candidate shall report to [his] the candidate's campaign
724 treasurer each campaign expenditure of more than fifty dollars which
725 [he] the candidate has made directly from [his] the candidate's own
726 personal funds, except those expenditures for [his] the candidate's own
727 telephone calls, travel and meals for which the candidate does not seek
728 reimbursement from [his] the candidate's committee, by the close of
729 the reporting period in which the expenditures were made. The
730 candidate shall indicate whether or not [he] the candidate expects
731 reimbursement by the committee. The campaign treasurer shall report
732 all such reimbursed and nonreimbursed expenditures as "campaign
733 expenses paid by the candidate" on the sworn financial statements [he]
734 the campaign treasurer is required to file in accordance with section 9-
735 333j and in the same manner as committee expenditures.

736 [(l) Each check issued by the campaign treasurer of a political
737 committee to a candidate committee, party committee or another
738 political committee (1) shall have typed, stamped, or printed other
739 than by hand, on its face, the name and address of the political
740 committee making the contribution and (2) shall legibly indicate the
741 name of the campaign treasurer of the political committee.]

742 [(m)] (l) Any obligation or restriction imposed by this section and
743 sections 9-333j, 9-333l, 9-333m, 9-333o, [9-333q,] 9-333r, [9-333t,] 9-333v,
744 9-333w, 9-333x and 9-333y on a campaign treasurer or a candidate
745 committee shall be deemed to be imposed on any candidate who is
746 exempt from forming a candidate committee and has filed a
747 certification pursuant to subsection (b) of section 9-333f with the
748 proper authority.

749 Sec. 10. Section 9-333j of the general statutes is repealed and the
750 following is substituted in lieu thereof:

751 (a) (1) Each campaign treasurer of a committee, other than a state
 752 central committee, shall file a statement, sworn under penalty of false
 753 statement with the proper authority in accordance with the provisions
 754 of section 9-333e, (A) on the second Thursday in the months of
 755 January, April, July and October, (B) on the seventh day preceding
 756 each regular state election, except that (i) in the case of a candidate or
 757 exploratory committee established for an office to be elected at a
 758 municipal election, the statement shall be filed on the seventh day
 759 preceding a regular municipal election in lieu of such date, and (ii) in
 760 the case of a town committee, the statement shall be filed on the
 761 seventh day preceding each municipal election in addition to such
 762 date, and (C) if the committee has made or received a contribution or
 763 expenditure in connection with any other election, a primary or a
 764 referendum, on the seventh day preceding the election, primary or
 765 referendum. The statement shall be complete as of seven days
 766 immediately preceding the required filing day, and shall cover a
 767 period to begin with the first day not included in the last filed
 768 statement except that the January statement, when filed by a party
 769 committee, [or a political committee organized for the purpose of
 770 ongoing political activities,] shall cover all contributions made or
 771 received and all expenditures made as of midnight on December
 772 thirty-first of the preceding calendar year.

773 (2) Each campaign treasurer of a candidate committee, within forty-
 774 five days following any election and within thirty days following any
 775 primary, and each campaign treasurer of a [political committee formed
 776 for a single primary, election or referendum] referendum committee,
 777 within forty-five days after any [election or] referendum, shall file
 778 statements in the same manner as is required of them under
 779 subdivision (1) of this subsection. If the campaign treasurer of a
 780 candidate committee established by a candidate, who is unsuccessful
 781 in the primary or has terminated [his] the candidate's candidacy prior
 782 to the primary, distributes all surplus funds within thirty days
 783 following the scheduled primary and discloses the distribution on the
 784 postprimary statement, such campaign treasurer shall not be required

785 to file any subsequent statement unless the committee has a deficit, in
786 which case [he] the campaign treasurer shall file any required
787 statements in accordance with the provisions of subdivision (3) of
788 subsection (e) of this section.

789 (3) In the case of state central committees, on each January thirtieth,
790 April tenth and July tenth, and on the twelfth day preceding any
791 election, the campaign treasurer of each such committee shall file with
792 the proper authority, a statement, sworn under penalty of false
793 statement, complete as of the last day of the month immediately
794 preceding the month in which such statement is to be filed in the case
795 of statements required to be filed in January, April and July, and
796 complete as of the nineteenth day preceding an election, in the case of
797 the statement required to be filed on the twelfth day preceding an
798 election, and in each case covering a period to begin with the first day
799 not included in the last filed statement.

800 (b) The statements required to be filed under subsection (a) of this
801 section and subdivisions (2) and (3) of subsection (e) of this section,
802 shall not be required to be filed by: (1) A candidate committee or
803 [political committee formed for a single primary or election]
804 exploratory committee until such committee receives or expends an
805 amount in excess of one thousand dollars for purposes of the primary
806 or election for which such committee was formed; (2) a [political
807 committee formed solely to aid or promote the success or defeat of any
808 referendum question] referendum committee until such committee
809 receives or expends an amount in excess of one thousand dollars; or (3)
810 a party [or political] committee [organized for ongoing political
811 activities] until such committee receives or expends an amount in
812 excess of one thousand dollars for the calendar year except the
813 statements required to be filed on the second Thursday in the month of
814 January and on the seventh day preceding any election shall be so
815 filed. The provisions of this subsection shall not apply to state central
816 committees or to the statement required to be filed by an exploratory
817 committee upon its termination. A committee which is exempted from

818 filing statements under the provisions of this subsection shall file in
819 lieu thereof a statement sworn under penalty of false statement,
820 indicating that the committee has not received or expended an amount
821 in excess of one thousand dollars.

822 (c) (1) Each statement filed under subsection (a), (e) or (f) of this
823 section shall include, but not be limited to: (A) An itemized accounting
824 of each contribution, if any, including the full name and complete
825 address of each contributor and the amount of the contribution; (B) in
826 the case of anonymous contributions, the total amount received and
827 the denomination of the bills; (C) an itemized accounting of each
828 expenditure, if any, including the full name and complete address of
829 each payee, the amount and the purpose of the expenditure, the
830 candidate supported or opposed by the expenditure, whether the
831 expenditure is made independently of the candidate supported or is an
832 in-kind contribution to the candidate, and a statement of the balance
833 on hand or deficit, as the case may be; (D) an itemized accounting of
834 each expense incurred but not paid; (E) the name and address of any
835 person who is the guarantor of a loan to, or the cosigner of a note with,
836 the candidate on whose behalf the committee was formed, or the
837 campaign treasurer in the case of a party committee [or a political
838 committee or] who has advanced a security deposit to a telephone
839 company, as defined in section 16-1, for telecommunications service
840 for a committee; [(F) for each business entity or person purchasing
841 advertising space in a program for a fund-raising affair, the name and
842 address of the business entity and the name of the chief executive
843 officer of the business entity or the name and address of the person,
844 and the amount and aggregate amounts of such purchases; (G)] (F) for
845 each individual who contributes in excess of [one hundred dollars but
846 not more than one thousand dollars, in the aggregate, to the extent
847 known] thirty dollars in the aggregate, the principal occupation of
848 such individual and the name of the individual's employer, if any, [
849 (H) for each individual who contributes in excess of one thousand
850 dollars in the aggregate, the principal occupation of such individual,
851 the name of the individual's employer, if any,] and a statement

852 indicating whether the individual or a business with which he is
 853 associated has a contract with the state which is valued at more than
 854 five thousand dollars; and [(I)] (G) for each itemized contribution
 855 made by a lobbyist, the spouse of a lobbyist or any dependent child of
 856 a lobbyist who resides in the lobbyist's household, a statement to that
 857 effect. Each campaign treasurer shall include in such statement an
 858 itemized accounting of the receipts and expenditures relative to any
 859 testimonial affair held under the provisions of section 9-333k or any
 860 other fund-raising affair.

861 (2) Each contributor described in subparagraph [(G), (H) or (I)] (F)
 862 or (G) of subdivision (1) of this subsection shall, at the time [he] the
 863 contributor makes such a contribution, provide the information which
 864 the campaign treasurer is required to include under said subparagraph
 865 in the statement filed under subsection (a), (e) or (f) of this section.
 866 Notwithstanding any provision of subdivision (2) of section 9-7b, any
 867 contributor described in subparagraph [(G)] (F) of subdivision (1) of
 868 this subsection who does not provide such information at the time [he]
 869 the contributor makes such a contribution and any treasurer shall not
 870 be subject to the provisions of subdivision (2) of section 9-7b. If a
 871 campaign treasurer receives a contribution from an individual which
 872 separately, or in the aggregate, is in excess of [one thousand] thirty
 873 dollars and the contributor has not provided the information required
 874 by said subparagraph [(H)] (F), the campaign treasurer: (i) Within
 875 [three] seven business days after receiving the contribution, shall send
 876 a request for such information to the contributor by certified mail,
 877 return receipt requested; (ii) shall not deposit the contribution until
 878 [he] the campaign treasurer obtains such information from the
 879 contributor, notwithstanding the provisions of section 9-333h; and (iii)
 880 shall return the contribution to the contributor if the contributor does
 881 not provide the required information within fourteen days after the
 882 treasurer's written request or the end of the reporting period in which
 883 the contribution was received, whichever is later. Any failure of a
 884 contributor to provide the information which the campaign treasurer is
 885 required to include under said subparagraph [(G) or (I)] (F) or (G),

886 which results in noncompliance by the campaign treasurer with the
887 provisions of said subparagraph [(G) or (I)] (F) or (G), shall be a
888 complete defense to any action against the campaign treasurer for
889 failure to disclose such information.

890 (3) Contributions from a single individual to a campaign treasurer
891 in the aggregate totaling thirty dollars or less need not be individually
892 identified in the statement, but a sum representing the total amount of
893 all such contributions made by all such individuals during the period
894 to be covered by such statement shall be a separate entry, identified
895 only by the words "total contributions from small contributors".

896 (4) Statements filed in accordance with this section shall remain
897 public records of the state for five years from the date such statements
898 are filed.

899 (d) At the time of filing statements required under this section, the
900 campaign treasurer of each candidate committee shall send to the
901 candidate a duplicate statement and the campaign treasurer of each
902 party committee and each [political committee other than an
903 exploratory committee] referendum committee shall send to the
904 chairman of the committee a duplicate statement. Each statement
905 required to be filed under this section and subsection (g) of section 9-
906 333l, shall be deemed to be filed in a timely manner if it is delivered by
907 hand to the office of the proper authority before four-thirty o'clock
908 p.m. or postmarked by the United States Postal Service before
909 midnight on the required filing day. If the day for any such filing falls
910 on a Saturday, Sunday or legal holiday, the statement shall be filed on
911 the next business day thereafter.

912 (e) (1) Notwithstanding any provisions of this chapter to the
913 contrary, in the event of a surplus the campaign treasurer of a
914 candidate committee or of a [political committee, other than a political
915 committee formed for ongoing political activities or an exploratory
916 committee] referendum committee shall distribute or expend such
917 surplus within ninety days after a primary which results in the defeat

918 of the candidate, an election or referendum, in the following manner:

919 (A) Such [committees] candidate committee may distribute [their]
920 its surplus to a party committee, [or a political committee organized
921 for ongoing political activities,] return such surplus to all contributors
922 to the committee on a prorated basis of contribution, or distribute such
923 surplus to any charitable organization which is a tax-exempt
924 organization under Section 501(c)(3) of the Internal Revenue Code of
925 1986, or any subsequent corresponding internal revenue code of the
926 United States, as from time to time amended, provided no candidate
927 committee may distribute such surplus to a committee which has been
928 established to finance future political campaigns of the candidate;

929 [(B) Each such political committee established by an organization
930 which received its funds from the organization's treasury shall return
931 its surplus to its sponsoring organization;]

932 [(C) (i) Each political committee formed solely to aid or promote the
933 success or defeat of any referendum question, which does not receive
934 contributions from a business entity or an organization, shall distribute
935 its surplus to a party committee, to a political committee organized for
936 ongoing political activities, to a national committee of a political party,
937 to all contributors to the committee on a prorated basis of contribution,
938 to state or municipal governments or agencies or to any organization
939 which is a tax-exempt organization under Section 501(c)(3) of the
940 Internal Revenue Code of 1986, or any subsequent corresponding
941 internal revenue code of the United States, as from time to time
942 amended, (ii) each political committee formed solely to aid or promote
943 the success or defeat of any referendum question, which receives
944 contributions from a business entity or an organization,]

945 (B) Each referendum committee shall distribute its surplus to all
946 contributors to the committee on a prorated basis of contribution, to
947 state or municipal governments or agencies, or to any organization
948 which is tax-exempt under said provisions of the Internal Revenue
949 Code;

950 [(D)] (C) The campaign treasurer of the candidate committee of a
951 candidate who is elected to office may, upon the authorization of such
952 candidate, expend surplus campaign funds to pay for the cost of
953 clerical, secretarial or other office expenses necessarily incurred by
954 such candidate in preparation for taking office; except such surplus
955 shall not be distributed for the personal benefit of any individual or to
956 any organization; and

957 [(E)] (D) The campaign treasurer of a candidate committee [, or of a
958 political committee, other than a political committee formed for
959 ongoing political activities or an exploratory committee,] shall, prior to
960 the dissolution of such committee, either (i) distribute any equipment
961 purchased, including but not limited to computer equipment, to any
962 recipient as set forth in subparagraph (A) of this subdivision or (ii) sell
963 any equipment purchased, including but not limited to computer
964 equipment, to any person for fair market value and then distribute the
965 proceeds of such sale to any recipient as set forth in said subparagraph
966 (A).

967 (2) Notwithstanding any provisions of this chapter to the contrary,
968 the campaign treasurer of the candidate committee of a candidate who
969 has withdrawn from a primary or election may, prior to the primary or
970 election, distribute its surplus to any organization which is tax-exempt
971 under Section 501(c)(3) of the Internal Revenue Code of 1986, or any
972 subsequent corresponding internal revenue code of the United States,
973 as from time to time amended, or return such surplus to all
974 contributors to the committee on a prorated basis of contribution.

975 (3) Within seven days after such distribution or within seven days
976 after all funds have been expended in accordance with subparagraph
977 [(D)] (C) of subdivision (1) of this subsection, the campaign treasurer
978 shall file a supplemental statement, sworn under penalty of false
979 statement, with the proper authority, identifying all further
980 contributions received since the previous statement and explaining
981 how any surplus has been distributed or expended in accordance with

982 this section. No surplus may be distributed or expended until after the
983 election, primary or referendum.

984 (4) In the event of a deficit the campaign treasurer shall file a
985 supplemental statement ninety days after the election, primary or
986 referendum with the proper authority and, thereafter, on the seventh
987 day of each month following if on the last day of the previous month
988 there was an increase or decrease in the deficit in excess of five
989 hundred dollars from that reported on the last statement filed. The
990 campaign treasurer shall file such supplemental statements as required
991 until the deficit is eliminated. If any such committee does not have a
992 surplus or a deficit, the statement required to be filed within forty-five
993 days following any election or referendum or within thirty days
994 following any primary shall be the last required statement.

995 (f) If an exploratory committee has been established by a candidate
996 pursuant to subsection (c) of section 9-333f, the campaign treasurer of
997 the committee shall file a notice of intent to dissolve it with the
998 appropriate authority not later than fifteen days after the candidate's
999 declaration of intent to seek nomination or election to a particular
1000 public office. The campaign treasurer shall also file a statement
1001 identifying all contributions received or expenditures made by the
1002 exploratory committee since the previous statement and the balance on
1003 hand or deficit, as the case may be. In the event of a surplus, the
1004 campaign treasurer shall, not later than the filing of the statement,
1005 distribute the surplus to the candidate committee established pursuant
1006 to said section, except that in the case of a surplus of an exploratory
1007 committee established for nomination or election to an office other
1008 than the General Assembly or a state office, as defined in subsection (e)
1009 of section 9-333l, (1) the campaign treasurer may only distribute to the
1010 candidate committee for nomination or election to the General
1011 Assembly or state office, as so defined, of such candidate that portion
1012 of such surplus which is in excess of the total contributions which the
1013 exploratory committee received from lobbyists, [or political
1014 committees established by lobbyists,] during any period in which the

1015 prohibitions in said subsection (e) of section 9-333l apply and (2) any
1016 remaining amount shall be returned to all such lobbyists, [and political
1017 committees established by or on behalf of lobbyists,] on a prorated
1018 basis of contribution, or distributed to any charitable organization
1019 which is a tax-exempt organization under Section 501(c)(3) of the
1020 Internal Revenue Code of 1986, or any subsequent corresponding
1021 internal revenue code of the United States, as from time to time
1022 amended. If the candidate decides not to seek nomination or election
1023 to any office, the campaign treasurer shall, within fifteen days after
1024 such decision, comply with the provisions of this subsection and
1025 distribute any surplus in the manner provided by this section for
1026 [political committees other than those formed for ongoing political
1027 activities] candidate committees. In the event of a deficit, the campaign
1028 treasurer shall file a statement thirty days after the decision or
1029 declaration with the proper authority and, thereafter, on the seventh
1030 day of each month following if on the last day of the previous month
1031 there was an increase or decrease in such deficit in excess of five
1032 hundred dollars from that reported on the last statement filed. The
1033 campaign treasurer shall file supplemental statements until the deficit
1034 is eliminated. If the exploratory committee does not have a surplus or
1035 deficit, the statement filed after the candidate's declaration or decision
1036 shall be the last required statement.

1037 Sec. 11. Subsection (a) of section 9-333k of the general statutes is
1038 repealed and the following is substituted in lieu thereof:

1039 (a) The chairman of each party committee shall designate a
1040 campaign treasurer and may designate a deputy campaign treasurer,
1041 or in the case of a state central committee, not more than two deputy
1042 campaign treasurers. The campaign treasurer and any deputy
1043 campaign treasurers so designated shall sign a statement accepting the
1044 designation, which shall be filed with the proper authority with the
1045 statement of designation required under subdivision (1) of subsection
1046 (a) of section 9-333d. No state central committee or town committee
1047 shall establish a committee other than a single party committee for

1048 purposes of this chapter. [A party committee or a political committee
1049 organized for ongoing political activities shall form no other political
1050 committees, except that two or more such committees may join to form
1051 a political committee for the purpose of a single fund-raising event.]

1052 Sec. 12. Section 9-333l of the general statutes is repealed and the
1053 following is substituted in lieu thereof:

1054 (a) Any provision of this chapter to the contrary notwithstanding, a
1055 candidate committee may join with one or more candidate committees
1056 to establish a [political] committee for the purpose of sponsoring one
1057 or more fund-raising events for those candidates. Any individual,
1058 other than a candidate benefited, who is eligible and qualifies to serve
1059 in accordance with the provisions of subsection (d) of section 9-333h
1060 may serve as the campaign treasurer or deputy campaign treasurer of
1061 such a [political] committee. The statements required to be filed by a
1062 [political committee] candidate committee under this chapter shall
1063 apply to any [political] committee established pursuant to this
1064 subsection. After all expenses of the [political] committee have been
1065 paid by its campaign treasurer for each event, [he] the campaign
1066 treasurer shall distribute all remaining funds from such event to the
1067 campaign treasurers of each of the candidate committees which
1068 established the [political] committee. The distribution to each
1069 candidate committee shall be made either in accordance with a prior
1070 agreement of the candidates or, if no prior agreement was made, in
1071 equal proportions to each candidate committee. Any contribution
1072 which is made to such [political] committee shall, for purposes of
1073 determining compliance with the limitations imposed by this chapter,
1074 be deemed to have been made in equal proportions to each candidate's
1075 campaign unless (1) a prior agreement was made by the candidates as
1076 to the disposition of remaining funds and (2) those who contributed to
1077 the [political] committee were notified of such disposition, in which
1078 case the contribution shall be deemed to have been made to each
1079 candidate's campaign in accordance with the agreement.

1080 (b) A candidate committee may pay its pro rata share of the
1081 expenses of operating a campaign headquarters and of preparing,
1082 printing and disseminating any political communication on behalf of
1083 that candidate and any other candidate or candidates.
1084 Notwithstanding the provisions of subdivision (1) of subsection (a) of
1085 section 9-333r, a candidate committee may reimburse a party
1086 committee for any expenditure such party committee has incurred for
1087 the benefit of such candidate committee.

1088 (c) A candidate may make any expenditure permitted by section 9-
1089 333i to aid or promote the success of [his] the candidate's campaign for
1090 nomination or election from [his] the candidate's personal funds, or the
1091 funds of his immediate family, which for the purposes of this chapter
1092 shall consist of the candidate's spouse and issue. Any such expenditure
1093 shall not be deemed a contribution to any committee.

1094 (d) (1) No incumbent holding office shall, during the three months
1095 preceding an election in which [he] the incumbent is a candidate for
1096 reelection or election to another office, use public funds to mail or print
1097 flyers or other promotional materials intended to bring about his
1098 election or reelection.

1099 (2) No official or employee of the state or a political subdivision of
1100 the state shall authorize the use of public funds for a television, radio,
1101 newspaper or magazine promotional campaign or advertisement,
1102 which (A) features the name, face or voice of a candidate for public
1103 office or (B) promotes the nomination or election of a candidate for
1104 public office, during the five-month period preceding the election
1105 being held for the office which the candidate described in this
1106 subdivision is seeking.

1107 (e) For purposes of this subsection and subsection (f) of this section,
1108 the exclusions to the term "contribution" in subsection (b) of section 9-
1109 333b shall not apply; the term "state office" means the office of
1110 Governor, Lieutenant Governor, Attorney General, State Comptroller,
1111 State Treasurer or Secretary of the State; and the term "state officer"

1112 means the Governor, Lieutenant Governor, Attorney General, State
1113 Comptroller, State Treasurer or Secretary of the State. Notwithstanding
1114 any provision of this chapter to the contrary, during any regular
1115 session of the General Assembly, during any special session of the
1116 General Assembly held between the adjournment of the regular
1117 session in an odd-numbered year and the convening of the regular
1118 session in the following even-numbered year or during any
1119 reconvened session of the General Assembly held in an odd-numbered
1120 year to reconsider vetoed bills, (1) no lobbyist [or political committee
1121 established by or on behalf of a lobbyist] shall make or offer to make a
1122 contribution to or on behalf of, and no lobbyist shall solicit a
1123 contribution on behalf of, [(A)] a candidate or exploratory committee
1124 established by a candidate for nomination or election to the General
1125 Assembly or a state office, [or (B) a political committee (i) established
1126 for an assembly or senatorial district, (ii) established by a member of
1127 the General Assembly or a state officer or such member or officer's
1128 agent, or in consultation with, or at the request or suggestion of, any
1129 such member, officer or agent, or (iii) controlled by such member,
1130 officer or agent, to aid or promote the nomination or election of any
1131 candidate or candidates to the General Assembly or a state office,] and
1132 (2) no such candidate [or political committee] shall accept such a
1133 contribution. The provisions of this subsection shall not apply to a
1134 candidate committee established by a member of the General
1135 Assembly or a candidate for nomination or election to the General
1136 Assembly, at a special election for the General Assembly, from the date
1137 on which the candidate or the chairman of the committee files the
1138 designation of a campaign treasurer and a depository institution under
1139 section 9-333d with the Secretary of the State, to the date on which the
1140 special election is held, inclusive, or to an exploratory committee
1141 established by a member of the General Assembly to promote his
1142 candidacy for an office other than the General Assembly.

1143 [(f) A political committee established by two or more individuals
1144 under subparagraph (B) of subsection (3) of section 9-333a, other than a
1145 committee established solely for the purpose of aiding or promoting

1146 any candidate or candidates for municipal office or the success or
1147 defeat of a referendum question, shall be subject to the prohibition on
1148 acceptance of lobbyist contributions under subsection (e) of this section
1149 unless the campaign treasurer of the committee has filed a certification
1150 that the committee is not established for an assembly or senatorial
1151 district, or by a member of the General Assembly or a state officer, or
1152 such member or officer's agent, or in consultation with, or at the
1153 request or suggestion of, any such member, officer or agent, or
1154 controlled by such member, officer or agent. The campaign treasurer of
1155 any political committee established by or on behalf of a lobbyist shall
1156 file a certification to that effect. Such certifications shall be filed with
1157 the office of the Secretary of the State, on forms prescribed by the
1158 secretary, on or before November 15, 1994, for all such political
1159 committees in existence on such date, or upon the registration of the
1160 committee, and on or before November fifteenth biennially thereafter.
1161 The secretary shall provide to the State Elections Enforcement
1162 Commission on or before December 1, 1994, and biennially thereafter,
1163 a political committee registration report. The report shall include a
1164 certified copy of each certification filed pursuant to this subsection
1165 prior to December first of the reporting year and a certified copy of a
1166 list stating the name of each political committee registered pursuant to
1167 section 9-333g prior to December first of the reporting year and the
1168 name and address of the campaign treasurer of each such committee.
1169 In the case of any political committee which registers or files a
1170 certification on or after December first of any even-numbered year but
1171 prior to November first of the following even-numbered year, the
1172 secretary shall provide the commission with a copy of each such
1173 registration or certification by the close of the next business day
1174 following receipt. Such registration information or certification shall
1175 also be included in the biennial political committee registration report
1176 of the secretary to the commission. The commission shall prepare a list
1177 of all such committees subject to the prohibitions under subsection (e)
1178 of this section, according to the certifications filed, which shall be
1179 available prior to the opening of each regular session of the General

1180 Assembly, and shall provide a copy of the list to the president pro
1181 tempore of the Senate, the speaker of the House of Representatives, the
1182 minority leader of the Senate, the minority leader of the House of
1183 Representatives and each state officer. During each such regular
1184 session, the commission shall prepare a supplemental list of
1185 committees which register after November fifteenth and are subject to
1186 such prohibitions, and the commission shall provide the supplemental
1187 list to such legislative leaders and state officers. The filing of the
1188 certification by the campaign treasurer of the committee shall not
1189 impair the authority of the commission to act under section 9-7b. Any
1190 lobbyist or campaign treasurer who acts in reliance on such lists in
1191 good faith shall have an absolute defense in any action brought under
1192 subsection (e) and this subsection, subsection (c) of section 9-333f, and
1193 subsection (f) of section 9-333j.]

1194 [(g)] (f) As used in this subsection, "immediate family" means any
1195 spouse or dependent child who resides in a lobbyist's household. Each
1196 lobbyist who is an individual and, in conjunction with members of his
1197 immediate family, makes contributions to or purchases from
1198 committees exceeding one thousand dollars in the aggregate during
1199 the twelve-month period beginning July 1, 1993, or July first in any
1200 year thereafter, shall file a statement, sworn under penalty of false
1201 statement, with the Secretary of the State in accordance with the
1202 provisions of section 9-333e, on the second Thursday in July following
1203 the end of such twelve-month period. The statement shall include: (1)
1204 The name of each committee to which the lobbyist or a member of his
1205 immediate family has made a contribution and the amount and date of
1206 each such contribution; and (2) the name of each committee from
1207 which the lobbyist or member of his immediate family has purchased
1208 any item of property [or advertising space in a program] in connection
1209 with a fund-raising event which is not considered a contribution under
1210 subsection (b) of section 9-333b and the amount, date and description
1211 of each such purchase. Each lobbyist who is an individual and who, in
1212 conjunction with members of his immediate family, does not make
1213 contributions to or purchases from committees exceeding one

1214 thousand dollars in the aggregate during any such twelve-month
1215 period shall file a statement, sworn under penalty of false statement,
1216 with the Secretary of the State in accordance with the provisions of
1217 section 9-333e, on the second Thursday in July, so indicating.

1218 Sec. 13. Section 9-333m of the general statutes is repealed and the
1219 following is substituted in lieu thereof:

1220 (a) No individual shall make a contribution or contributions to, for
1221 the benefit of, or pursuant to the authorization or request of, a
1222 candidate or a committee supporting or opposing any candidate's
1223 campaign for nomination at a primary, or any candidate's campaign
1224 for election, to the office of (1) Governor, in excess of two thousand
1225 five hundred dollars; (2) Lieutenant Governor, Secretary of the State,
1226 Treasurer, Comptroller or Attorney General, in excess of one thousand
1227 five hundred dollars; (3) chief executive officer of a town, city or
1228 borough, in excess of one thousand dollars; (4) state senator or probate
1229 judge, in excess of five hundred dollars; or (5) state representative or
1230 any other office of a municipality not previously included in this
1231 subsection, in excess of two hundred fifty dollars. The limits imposed
1232 by this subsection shall be applied separately to primaries and
1233 elections.

1234 (b) In the case of one or more convention delegate primaries in
1235 which a slate of candidates for the position of convention delegate are
1236 committed to a single candidate for state or district office who has
1237 consented to financing the slate's campaign solely by his candidate
1238 committee in accordance with section 9-333f, an individual may make
1239 an additional contribution or contributions to such candidate
1240 committee not in excess of two hundred fifty dollars for each delegate
1241 primary financed in such manner.

1242 (c) No individual shall make a contribution or contributions to, or
1243 for the benefit of, an exploratory committee or a [political candidate
1244 committee] candidate committee formed by a slate of candidates in a
1245 primary for the position of delegate to the same convention, in excess

1246 of two hundred fifty dollars.

1247 (d) No individual shall make contributions to such candidates or
1248 committees which in the aggregate exceed fifteen thousand dollars for
1249 any single election and primary preliminary thereto.

1250 (e) No individual shall make a contribution to any candidate or
1251 committee, other than a contribution in kind, in excess of one hundred
1252 dollars except by personal check of that individual.

1253 (f) No individual who is less than sixteen years of age shall make a
1254 contribution or contributions, in excess of thirty dollars to, for the
1255 benefit of, or pursuant to the authorization or request of: (1) A
1256 candidate or a committee supporting or opposing any candidate's
1257 campaign for nomination at a primary to any office; (2) a candidate or
1258 a committee supporting or opposing any candidate's campaign for
1259 election to any office; (3) an exploratory committee; or [(4) any other
1260 political committee in any calendar year; or (5)] (4) a party committee
1261 in any calendar year. Notwithstanding any provision of subdivision (2)
1262 of section 9-7b, any individual who is less than sixteen years of age
1263 who violates any provision of this subsection shall not be subject to the
1264 provisions of subdivision (2) of section 9-7b.

1265 Sec. 14. Section 9-333n of the general statutes is repealed and the
1266 following is substituted in lieu thereof:

1267 (a) No individual shall make a contribution or contributions in any
1268 one calendar year in excess of five thousand dollars to the state central
1269 committee of any party, or for the benefit of such committee pursuant
1270 to its authorization or request; or one thousand dollars to a town
1271 committee of any political party, or for the benefit of such committee
1272 pursuant to its authorization or request. [; or one thousand dollars to a
1273 political committee other than (1) a political committee formed solely
1274 to aid or promote the success or defeat of a referendum question, (2) an
1275 exploratory committee, (3) a political committee established by an
1276 organization, or for the benefit of such committee pursuant to its

1277 authorization or request or (4) a political committee formed by a slate
1278 of candidates in a primary for the position of delegate to the same
1279 convention.] No individual who intends to make a contribution to any
1280 clearly identifiable candidate's campaign for nomination or election to
1281 any public office may do so unless the contribution is made directly to
1282 the candidate's designated candidate or exploratory committee or to a
1283 solicitor appointed by the campaign treasurer of such committee. A
1284 party committee may not accept a contribution from an individual
1285 which is intended to be made for such purpose. This prohibition shall
1286 not apply to a contribution made to benefit a slate of candidates whose
1287 campaigns are funded solely by a party committee.

1288 [(b) No individual shall make a contribution to a political committee
1289 established by an organization which receives its funds from the
1290 organization's treasury. With respect to a political committee
1291 established by an organization which has complied with the provisions
1292 of subsection (b) or (c) of section 9-333p, and has elected to receive
1293 contributions, no individual other than a member of the organization
1294 may make contributions to the committee, in which case the individual
1295 may contribute not more than five hundred dollars in any one calendar
1296 year to such committee or for the benefit of such committee pursuant
1297 to its authorization or request.

1298 (c) In no event may any individual make contributions to a
1299 candidate committee and a political committee formed solely to
1300 support one candidate other than an exploratory committee or for the
1301 benefit of a candidate committee and a political committee formed
1302 solely to support one candidate pursuant to the authorization or
1303 request of any such committee, in an amount which in the aggregate is
1304 in excess of the maximum amount which may be contributed to the
1305 candidate.]

1306 [(d)] (b) Any individual may make unlimited contributions or
1307 expenditures to aid or promote the success or defeat of any
1308 referendum question, provided any individual who makes an

1309 expenditure or expenditures in excess of one thousand dollars to
1310 promote the success or defeat of any referendum question shall file
1311 statements according to the same schedule and in the same manner as
1312 is required of a campaign treasurer of a [political committee]
1313 referendum committee under section 9-333j.

1314 [(e)] (c) Any individual acting alone may, independent of any
1315 candidate, agent of the candidate, or committee, make unlimited
1316 expenditures to promote the success or defeat of any candidate's
1317 campaign for election, or nomination at a primary, to any office or
1318 position, provided any individual who makes an independent
1319 expenditure or expenditures in excess of one thousand dollars to
1320 promote the success or defeat of any candidate's campaign for election,
1321 or nomination at a primary, to any such office or position shall file
1322 statements according to the same schedule and in the same manner as
1323 is required of a campaign treasurer of a candidate committee under
1324 section 9-333j.

1325 [(f)] (d) (1) As used in this subsection, "investment services" means
1326 legal services, investment banking services, investment advisory
1327 services, underwriting services, financial advisory services or
1328 brokerage firm services.

1329 (2) No individual who is an owner of a firm which provides
1330 investment services and to which the Treasurer pays compensation,
1331 expenses or fees or issues a contract, and no individual who is
1332 employed by such a firm as a manager, officer, director, partner or
1333 employee with managerial or discretionary responsibilities to invest,
1334 manage funds or provide investment services for brokerage,
1335 underwriting and financial advisory activities which are in the
1336 statutory and constitutional purview of the Treasurer, shall make a
1337 contribution on or after October 1, 1995, to, or solicit contributions on
1338 or after said date on behalf of, an exploratory committee or candidate
1339 committee established by a candidate for nomination or election to the
1340 office of Treasurer during the term of office of the Treasurer which

1341 pays compensation, expenses or fees or issues a contract to such firm.

1342 (3) Neither the Treasurer, the Deputy Treasurer, any candidate for
1343 the office of Treasurer nor any member of the Investment Advisory
1344 Council established under section 3-13b may solicit contributions on
1345 behalf of an exploratory committee or candidate committee established
1346 by a candidate for nomination or election to any public office, from any
1347 individual who is an owner of a firm which provides investment
1348 services and to which the Treasurer pays compensation, expenses or
1349 fees or issues a contract, or from any individual who is employed by
1350 such a firm as a manager, officer, director, partner or employee with
1351 managerial or discretionary responsibilities to invest, manage funds or
1352 provide investment services for brokerage, underwriting and financial
1353 advisory activities which are in the statutory and constitutional
1354 purview of the Treasurer.

1355 (4) No member of the Investment Advisory Council appointed
1356 under section 3-13b shall make a contribution to, or solicit
1357 contributions on behalf of, an exploratory committee or candidate
1358 committee established by a candidate for nomination or election to the
1359 office of Treasurer.

1360 (5) No individual who is an owner of a firm which provides
1361 investment services and to which the Treasurer pays compensation,
1362 expenses or fees or issues a contract, and no individual who is
1363 employed by such a firm as a manager, officer, director, partner or
1364 employee with managerial or discretionary responsibilities to invest,
1365 manage funds or provide investment services for brokerage,
1366 underwriting and financial advisory activities which are in the
1367 statutory and constitutional purview of the Treasurer, may make a
1368 contribution to, or solicit contributions on behalf of, an exploratory
1369 committee or candidate committee established by a candidate for
1370 nomination or election to any public office.

1371 (e) (1) As used in this subsection, "state officer" means the Governor,
1372 Lieutenant Governor, Secretary of the State, Comptroller, Attorney

1373 General or Treasurer.

1374 (2) (A) If a state officer signs or has substantial involvement in
1375 negotiating a contract or contracts which, separately or in the
1376 aggregate, have a value of fifty thousand dollars or more with a
1377 business, no individual who is an owner, partner, director or officer of
1378 said business, or a manager of said business who has substantial policy
1379 or decision-making authority concerning the administration of the
1380 contract shall make a contribution or contributions in excess of two
1381 hundred fifty dollars to, or for the benefit of, said state officer's
1382 campaign for nomination at a primary or reelection to the same office
1383 or to the candidate committee of any individual's campaign for
1384 nomination at a primary or reelection to the same office.

1385 (B) If a state officer signs or has substantial involvement in
1386 negotiating a contract or contracts which, separately or in the
1387 aggregate, have a value of fifty thousand dollars or more with a
1388 business, no individual who is an owner, partner, director or officer of
1389 said business, or a manager of said business who has substantial policy
1390 or decision-making authority concerning the administration of the
1391 contract shall make a contribution or contributions in excess of two
1392 hundred fifty dollars to, or for the benefit of, said state officer's
1393 campaign for nomination at a primary or election to any other public
1394 office or to an exploratory committee formed by said state officer.

1395 (3) Each state officer shall keep a list of all businesses with contracts
1396 which, separately or in the aggregate, have a value of fifty thousand
1397 dollars or more, which the state officer has signed or had a substantial
1398 involvement in negotiating. Said list shall be subject to disclosure
1399 under the Freedom of Information Act and shall be available to the
1400 State Elections Enforcement Commission. Each contract issued by a
1401 state officer shall include the provisions of subdivision (2) of this
1402 subsection as a condition of the contract. Each such business shall
1403 maintain a list of the business' owners, partners, directors, officers and
1404 managers with substantial policy or decision-making authority related

1405 to the administration of such contracts and shall provide such list to
1406 the State Elections Enforcement Commission upon request.

1407 (f) No lobbyist shall make a contribution or contributions to, or for
1408 the benefit of, any candidate's campaign for nomination at a primary
1409 or election to the office of (1) Governor, in excess of two hundred fifty
1410 dollars; (2) Lieutenant Governor, Secretary of the State, Treasurer,
1411 Comptroller or Attorney General, in excess of one hundred fifty
1412 dollars; (3) state senator, in excess of fifty dollars; or (4) state
1413 representative, in excess of twenty five dollars. No lobbyist shall make
1414 a contribution or contributions in any one calendar year in excess of
1415 one thousand dollars to a state central committee, in excess of five
1416 hundred dollars to a town committee.

1417 (g) Any candidate who (1) has filed a certification pursuant to
1418 subdivision (2) or (3) of subsection (b) of section 9-333f, and (2)
1419 personally makes an expenditure or expenditures in excess of one
1420 thousand dollars to, or for the benefit of, said candidate's campaign for
1421 nomination at a primary or election to an office or position shall file
1422 statements according to the same schedule and in the same manner as
1423 is required of a campaign treasurer of a candidate committee under
1424 section 9-333j.

1425 Sec. 15. Section 9-333o of the general statutes is repealed and the
1426 following is substituted in lieu thereof:

1427 (a) No business entity shall make any contributions or expenditures
1428 to, or for the benefit of, any candidate's campaign for election to any
1429 public office or position subject to this chapter or for nomination at a
1430 primary for any such office or position, or to promote the defeat of any
1431 candidate for any such office or position, or to promote the success or
1432 defeat of any political party. [, except as provided in subsection (b) of
1433 this section.]

1434 [(b) A business entity may make reasonable and necessary transfers
1435 or disbursements to or for the benefit of a political committee

1436 established by such business entity, for the administration of, or
1437 solicitation of contributions to, such political committee. Nonmonetary
1438 contributions by a business entity which are incidental in nature and
1439 are directly attributable to the administration of such political
1440 committee shall be exempt from the reporting requirements of this
1441 chapter.]

1442 [(c)] (b) The provisions of this section shall not preclude a business
1443 entity from making contributions or expenditures to promote the
1444 success or defeat of a referendum question.

1445 [(d)] A political committee organized by a business entity shall not
1446 make a contribution or contributions to or for the benefit of any
1447 candidate's campaign for nomination at a primary or any candidate's
1448 campaign for election to the office of: (1) Governor, in excess of five
1449 thousand dollars; (2) Lieutenant Governor, Secretary of the State,
1450 Treasurer, Comptroller or Attorney General, in excess of three
1451 thousand dollars; (3) state senator, probate judge or chief executive
1452 officer of a town, city or borough, in excess of one thousand dollars; (4)
1453 state representative, in excess of five hundred dollars; or (5) any other
1454 office of a municipality not included in subdivision (3) of this
1455 subsection, in excess of two hundred fifty dollars; or an exploratory
1456 committee, in excess of two hundred fifty dollars. The limits imposed
1457 by this subsection shall apply separately to primaries and elections and
1458 contributions by any such committee to candidates designated in this
1459 subsection shall not exceed one hundred thousand dollars in the
1460 aggregate for any single election and primary preliminary thereto.
1461 Contributions to such committees shall also be subject to the
1462 provisions of section 9-333t in the case of committees formed for
1463 ongoing political activity or section 9-333u in the case of committees
1464 formed for a single election or primary.

1465 (e) A political committee organized by a business entity may make
1466 unlimited contributions to, or for the benefit of, another political
1467 committee organized by a business entity or to a party committee. No

1468 political committee organized by a business entity shall make a
1469 contribution to an exploratory committee in excess of two hundred
1470 fifty dollars. No such political committee shall make a contribution or
1471 contributions in excess of two thousand dollars to any other kind of
1472 political committee, in any one calendar year if organized for ongoing
1473 political activities, or if formed for a single primary, election or
1474 referendum, with respect to such primary, election or referendum.

1475 (f) As used in this subsection, "investment services" means legal
1476 services, investment banking services, investment advisory services,
1477 underwriting services, financial advisory services or brokerage firm
1478 services. No political committee established by a firm which provides
1479 investment services and to which the Treasurer pays compensation,
1480 expenses or fees or issues a contract shall make a contribution on or
1481 after October 1, 1995, to, or solicit contributions on or after said date on
1482 behalf of, an exploratory committee or candidate committee
1483 established by a candidate for nomination or election to the office of
1484 Treasurer during the term of office of the Treasurer which does
1485 business with such firm.]

1486 Sec. 16. Section 9-333p of the general statutes is repealed and the
1487 following is substituted in lieu thereof:

1488 [(a) An organization may make contributions or expenditures, other
1489 than those made to promote the success or defeat of a referendum
1490 question, only by first forming its own political committee. The
1491 political committee shall then be authorized to receive funds
1492 exclusively from the organization's treasury or from voluntary
1493 contributions made by its members, but not both, from another
1494 political committee or, from a candidate committee distributing a
1495 surplus and (1) to make contributions or expenditures to, or for the
1496 benefit of, a candidate's campaign or a political party or (2) to make
1497 contributions to another political committee. No organization shall
1498 form more than one political committee.

1499 (b) A political committee established by an organization may elect

1500 to alter the manner in which it is funded if it complies with the
1501 requirements of this subsection. The committee chairperson shall
1502 notify the repository with which the committee's most recent statement
1503 of organization is filed, in writing, of the committee's intent to alter its
1504 manner of funding. Within fifteen days after the date of receipt of such
1505 notification, the campaign treasurer of such political committee shall
1506 return any funds remaining in the account of the committee to the
1507 organization's treasury after payment of each outstanding liability.
1508 Within seven days after the distribution and payments have been
1509 made, the campaign treasurer shall file a statement with the same
1510 repository itemizing each such distribution and payment. Upon such
1511 filing, the campaign treasurer may receive voluntary contributions
1512 from any member of the organization which established such
1513 committee subject to the limitations imposed in subsection (b) of
1514 section 9-333n.

1515 (c) The chairperson of each political committee established by an
1516 organization on or after July 1, 1985, shall designate the manner in
1517 which the committee shall be funded in the committee's statement of
1518 organization.]

1519 No organization shall make any contributions or expenditures to, or
1520 for the benefit of, any candidate's campaign for election to any public
1521 office or position subject to this chapter or for nomination at a primary
1522 for any such office or position, or to promote the defeat of any
1523 candidate for any such office or position, or to promote the success or
1524 defeat of any political party.

1525 Sec. 17. Section 9-333r of the general statutes is repealed and the
1526 following is substituted in lieu thereof:

1527 (a) A candidate committee shall not make contributions to, or for the
1528 benefit of, (1) a party committee, (2) a [political committee, except to a
1529 political committee which has been formed for a slate of convention
1530 delegates in a primary] referendum committee, (3) a committee of a
1531 candidate for federal or out-of-state office, (4) a national committee, or

1532 (5) another candidate committee except that a pro rata sharing of
1533 certain expenses in accordance with subsection (b) of section 9-333l
1534 shall be permitted.

1535 (b) A candidate committee shall not receive contributions from any
1536 national committee or from a committee of a candidate for federal or
1537 out-of-state office.

1538 Sec. 18. Section 9-333s of the general statutes is repealed and the
1539 following is substituted in lieu thereof:

1540 (a) A party committee may make unlimited contributions to, or for
1541 the benefit of, any of the following: (1) Another party committee; (2) a
1542 candidate committee; (3) a national committee of a political party; (4) a
1543 committee of a candidate for federal or out-of-state office or (5) [a
1544 political committee] an exploratory committee or a referendum
1545 committee. A party committee may also make contributions to a
1546 charitable organization which is a tax-exempt organization under
1547 Section 501(c)(3) of the Internal Revenue Code, as from time to time
1548 amended, or make memorial contributions.

1549 (b) A party committee may receive contributions from a federal
1550 account of a national committee of a political party, but may not
1551 receive contributions from any other account of a national committee
1552 of a political party or from a committee of a candidate for federal or
1553 out-of-state office, for use in the election of candidates subject to the
1554 provisions of this chapter.

1555 Sec. 19. Section 9-333v of the general statutes is repealed and the
1556 following is substituted in lieu thereof:

1557 (a) A [political committee formed solely to aid or promote the
1558 success or defeat of a referendum question] referendum committee
1559 shall not make contributions to, or for the benefit of, a party
1560 committee, [a political committee,] a national committee, a committee
1561 of a candidate for federal or out-of-state office or a candidate

1562 committee or exploratory committee, except in the distribution of a
1563 surplus, as provided in subsection (e) of section 9-333j.

1564 (b) A [political committee formed solely to aid or promote the
1565 success or defeat of a referendum question] referendum committee
1566 shall not receive contributions from a national committee or from a
1567 committee of a candidate for federal or out-of-state office.

1568 (c) No person, as defined in subdivision (9) of section 9-333a, other
1569 than an individual or a committee, shall make a contribution to a
1570 [political committee formed solely to aid or promote the success or
1571 defeat of a referendum question] referendum committee, or to any
1572 other person, as defined in subdivision (9) of section 9-333a, to aid or
1573 promote the success or defeat of a referendum question, in excess of
1574 ten cents for each individual residing in the state or political
1575 subdivision thereof in which such referendum question is to be voted
1576 upon, in accordance with the last federal decennial census.

1577 (d) Any such person other than an individual or a committee which
1578 makes expenditures or has expenses incurred but not paid in excess of
1579 one thousand dollars in the state or political subdivision thereof in
1580 which a referendum question is to be voted upon, shall file all
1581 designations and sworn financial statements required to be filed by
1582 [political committees] referendum committees and comply with all
1583 provisions of this chapter which apply to [political committees]
1584 referendum committees.

1585 Sec. 20. Section 9-333w of the general statutes is repealed and the
1586 following is substituted in lieu thereof:

1587 (a) No individual shall make or incur any expenditure with the
1588 cooperation of, at the request or suggestion of, or in consultation with
1589 any candidate, candidate committee or candidate's agent, and no
1590 candidate or committee shall make or incur any expenditure for any
1591 written, typed or other printed communication which promotes the
1592 success or defeat of any candidate's campaign for nomination at a

1593 primary or election or solicits funds to benefit any political party or
1594 committee unless such communication bears upon its face the words
1595 "paid for by" and the following: (1) In the case of such an individual,
1596 the name and address of such individual; (2) in the case of a committee
1597 other than a party committee, the name of the committee and its
1598 campaign treasurer; or (3) in the case of a party committee, the name of
1599 the committee.

1600 (b) No business entity, organization, association, committee, or
1601 group of two or more individuals who have joined solely to promote
1602 the success or defeat of a referendum question and is required to file a
1603 certification in accordance with subsection (d) of section 9-333g, shall
1604 make or incur any expenditure for any written, typed or other printed
1605 communication which promotes the success or defeat of any
1606 referendum question unless such communication bears upon its face
1607 the words "paid for by" and the following: (1) In the case of a business
1608 entity, organization or association, the name of the entity, organization
1609 or association and the name of its chief executive officer; [(2) in the case
1610 of a political committee, the name of the committee and the name of its
1611 campaign treasurer; (3)] (2) in the case of a party committee, the name
1612 of the committee; or [(4)] (3) in the case of such a group of two or more
1613 individuals, the name of the group as it appears on the certification
1614 filed in accordance with subsection (d) of section 9-333g, and the name
1615 and address of its agent.

1616 (c) The provisions of subsections (a) and (b) of this section do not
1617 apply to (1) any editorial, news story, or commentary published in any
1618 newspaper, magazine or journal on its own behalf and upon its own
1619 responsibility and for which it does not charge or receive any
1620 compensation whatsoever, (2) any banner, (3) political paraphernalia
1621 including pins, buttons, badges, emblems, hats, bumper stickers or
1622 other similar materials, or (4) signs with a surface area of not more
1623 than thirty-two square feet.

1624 (d) The campaign treasurer of a candidate committee which

1625 sponsors any written, typed or other printed communication for the
1626 purpose of raising funds to eliminate a campaign deficit of that
1627 committee shall include in such communication a statement that the
1628 funds are sought to eliminate such a deficit.

1629 (e) The campaign treasurer of an exploratory committee or
1630 candidate committee established by a candidate for nomination or
1631 election to the office of Treasurer which committee sponsors any
1632 written, typed or other printed communication for the purpose of
1633 raising funds shall include in such communication a statement
1634 concerning the prohibitions set forth in subsection (n) of section 1-84,
1635 subsection (f) of section 9-333n and subsection (f) of section 9-333o.

1636 (f) In the event a campaign treasurer of a candidate committee is
1637 replaced pursuant to subsection (c) of section 9-333d, nothing in this
1638 section shall be construed to prohibit the candidate committee from
1639 distributing any printed communication subject to the provisions of
1640 this section that has already been printed or otherwise produced, even
1641 though such communication does not accurately designate the
1642 successor campaign treasurer of such candidate committee.

1643 Sec. 21. Subsection (c) of section 9-348ee of the general statutes is
1644 repealed and the following is substituted in lieu thereof:

1645 (c) On and after January 1, 1999, (1) the campaign treasurer of the
1646 candidate committee for any other candidate, as defined in section 9-
1647 333a, who is required to file the financial disclosure statements
1648 required by section 9-333j with the office of the Secretary of the State
1649 and (2) the campaign treasurer of any [political committee] exploratory
1650 committee, referendum committee or party committee, may file in
1651 electronic form any financial disclosure statements required by said
1652 section 9-333j. Such filings may be made by either transmitting disks,
1653 tapes or other electronic storage media containing the contents of such
1654 statements to the proper authority under section 9-333e or transmitting
1655 the statements on-line to such proper authority. Each such campaign
1656 treasurer shall use either (A) a software program created by the

1657 Secretary of the State under subdivision (1) of subsection (a) of this
1658 section, for all such statements filed in electronic form on or after
1659 January 1, 1999, or (B) another software program which provides for
1660 the standard reporting format, and complies with the specifications,
1661 which are prescribed by the secretary under subdivision (2) of
1662 subsection (a) of this section, for all such statements filed in electronic
1663 form on or after July 1, 1999. The proper authority under section 9-333e
1664 shall accept any statement that uses any such software program.

1665 Sec. 22. Sections 9-333q, 9-333t and 9-333u of the general statutes are
1666 repealed.

1667 Sec. 23. This act shall take effect July 1, 2001.

Statement of Purpose:

To implement the Governor's budget recommendations.

[Proposed deletions are enclosed in brackets. Proposed additions are indicated by underline, except that when the entire text of a bill or resolution or a section of a bill or resolution is new, it is not underlined.]